

Title of meeting: Schools Forum

Date of meeting: 16th July 2014

Subject: School Revenue Funding for 2015-16

Report from: Julian Wooster, Director of Children's and Adults Services

Report by: Richard Webb, Finance Manager for Children's Services

Wards affected: All Wards

Key decision: No

Full Council decision: No

1. Purpose of report

1.1. This purpose of this report is to:

- a. provide Schools Forum with an update on the progress and developments on the implementation of the school revenue funding arrangements for 2015-16; and
- b. seek the necessary approvals at this stage in the process.

2. Recommendations

2.1. It is recommended that Schools Forum:

- a. Endorse the principles proposed by the working groups in Appendices 2 and 3, to guide and inform the development of the funding arrangements for 2015-16.
- b. Acknowledge the mainstream funding working groups proposals:
 - i. not to alter the lump sum factor in 2015-16;
 - ii. not to introduce the split site funding factor in 2015-16;

for the reasons set out in section 5 of this report.

- c. Note that the mainstream funding formula consultation will be issued early in the autumn term and any feedback from schools will be presented to the Schools Forum meeting in October.

- d. Endorse the submission to the DfE of the necessary Minimum Funding Guarantee (MFG) exceptions and dis-applications.
- e. Acknowledge the proposal that any changes to the unit values attached to funding factors in 2015-16 in order to maintain overall affordability, will be limited to the following formula factors:
 - Basic Per Pupil Entitlement
 - Prior Attainment
 - Lump sum
 - Percentage of the financial cap
- f. Note the recent announcements from the DfE in respect of the High Needs funding arrangements as set out in paragraphs 6.1a to 6.1d.
- g. Acknowledge the Special working groups continued support for the existing Element 3 top-up funding system for Special Schools, and the proposed review of the banding descriptors.
- h. Acknowledge the proposal not to amend the band values for Special Schools in setting the budget for 2015-16.
- i. Note the proposed changes for 2015-16 in respect of the de-delegated budgets from maintained schools as set out in section 7.
- j. Note the next steps in the development of the 2015-16 funding arrangements as set out in section 9.
- k. Consider and approve one of the following options:
 - i. Approve the proposed criteria and funding allocation methodology for the Falling Rolls Fund in 2015-16 as set out in Appendix 5, subject to approval by the Department for Education; or
 - ii. Cease the operation of the Falling Rolls Fund in 2015-16.

3. Background

- 3.1. A report was presented to Schools Forum in April which set out details of the Department for Education's (DfE's) "Fairer Schools Funding in 2015-16" consultation.
- 3.2. In addition the report contained a proposal to set up working groups, (one for mainstream and one for special schools) to support the implementation of the funding arrangements for 2015-16.
- 3.3. This report therefore sets out the progress and developments since that meeting.

4. Working Groups

- 4.1. At the meeting in April, Schools Forum agreed to set up working groups (one for mainstream schools and one for the special schools) to support the implementation of the funding arrangements for 2015-16.
- 4.2. Since the meeting, both groups have been established and the membership of each group is shown at Appendix 1.
- 4.3. As in previous years, the first task of each group was to agree a set of principles which would guide and inform the financial modelling exercises, necessary in developing the funding arrangements for 2015-16. The principles agreed by each group are shown in Appendices 2 and 3. It is recommended that Schools Forum review and agree these principles.
- 4.4. Both groups have met twice since the Schools Forum meeting in April. The later sections of this report set out the progress and decisions made by the groups to date.

5. Mainstream Schools

- 5.1. In the consultation document issued by the DfE earlier this year, it was confirmed that they are not proposing any significant changes to the school revenue funding formula for Primary and Secondary schools in 2015-16. The DfE are reviewing the Sparsity factor that was introduced in 2014-15, but as this factor is not used by Portsmouth, there will be no impact.
- 5.2. The mainstream working group has been reviewing the following areas of the funding formula:
 - a. considering whether to reduce the lump sum amount and reallocate the funding through another factor; to prevent this becoming an obstacle to future school amalgamations; and
 - b. considering the need for a 'split site' funding factor, following the recent and planned school amalgamations.
- 5.3. The working group has considered these issues and the progress to date is set out below:

Lump sum

- 5.4. The working group requested the following 'lump sum' options to be modelled for both Primary and Secondary schools:
- No lump sum
 - A lump of £50,000
 - A lump sum of £75,000
 - A lump sum £100,000
- 5.5. Any funds released through the reduction of the current lump sum amount are reallocated to schools via the basic per pupil entitlement, thus increasing the amount each school would get per pupil on roll.
- 5.6. To ensure that the impact of the proposed changes could be measured on a like for like basis the modelling assumed that the proposed lump sums were implemented from 2014-15. Thus enabling any movement in the overall and individual schools budgets to be compared to the current position. An initial comparison between the current DSG allocation to mainstream schools and the impact of the modelled options on the future funding requirements, showed an increase in requirement as set out below.

Lump sum	Total School Funding Requirement	Additional funding requirement
	£	£
£139,150 - current	103,988,917	0
£100,000	104,149,031	160,114
£75,000	104,250,034	261,116
£50,000	104,323,279	334,361
£0	104,433,821	444,904

- 5.7. The table above demonstrates that by decreasing the lump sum and reallocating funding through the basic entitlement factor, there is an increase in the funding requirement when compared to the current funding allocation of £103,988,917. This is due to the impact of the Minimum Funding Guarantee (MFG), where the amount of MFG payable increases as the lump sum decreases. Based on current pupil numbers this would make the option to reduce the lump sum unaffordable.

- 5.8. This was compounded when looking the impact of the least costly lump sum of £100,000 on the individual schools as set out in the table below.

Lump Sum	Primary			Secondary		
	Schools who have seen an increase	Schools who have seen a decrease	No change	Schools who have seen an increase	Schools who have seen a decrease	No change
£0	35	15	0	6	4	0
£50,000	35	15	0	5	5	0
£75,000	35	15	0	5	5	0
£100,000	37	13	0	5	5	0

- 5.9. Of the primary schools 74% would see an increase in their funding, with 16% seeing a decrease. Whilst the Secondary schools were more evenly split between a budget increase/decrease.
- 5.10. The financial impact on Primary schools saw a maximum increase in the budget share of £25,853 and a maximum decrease of £14,058. The decrease in budget share whilst effecting 13 schools had a greater impact on the smallest schools in the authority with the two smallest schools seeing a loss in excess of £10,000.
- 5.11. When discussing the results with the working group, further modelling of the lump sum at amounts between £100,000 and £130,000 was considered, but it was felt that the decrease in funding for the smallest schools in the authority was not acceptable and therefore it was agreed that the lump sum should remain at £139,150 for both Primary and Secondary schools in 2015-16.

Split Site Factor

- 5.12. Initial research exploring the use of the split site funding factor by other local authorities was shared with the working group. Of the options available the working group agreed that a number were over complex and it would be difficult for a school to know how much it would receive. Two simple options were selected for further modelling:
- Luton Borough Council - £250.00 per pupil based at the subsidiary site
 - Hampshire County Council - £50,000 lump sum for the subsidiary site.
- 5.13. Discussions with the Education Department indicated that there are a number of potential amalgamations being discussed with schools, two of which had sites that could be considered to be split sites. Therefore the modelling was based on the two infant schools that were a distance away

from the main junior school campus. It was agreed that this factor would only affect Primary schools as amalgamation was not an option that was being considered for Secondary school sites.

5.14. As there is no additional funding available for the schools block to provide the funds for the new factor, funding was diverted from the basic per pupil entitlement for primary pupils.

5.15. The table below shows the impact on the schools budget shares

Scheme	Post MFG			Pre MFG		
	Primary			Primary		
	Schools who have seen an increase	Schools who have seen a decrease	No change	Schools who have seen an increase	Schools who have seen a decrease	No change
Luton	0	13	37	2	48	0
Hampshire	0	13	37	2	48	0

5.16. The right hand side of the above table shows the impact before MFG or the CAP (additional funding is capped at plus 1.5%) is applied. This indicates that the two schools concerned would see an increase in funding for both models; the increase would be between £32,100 and £63,700 depending on the school and model. However the remaining 48 Schools would see a decrease of between £234 and £3,400. However when the MFG/CAP formula is applied, both the schools who would see an increase would exceed the 1.5% gain in funding and therefore would not receive any additional funding via the split site factor.

5.17. In light of this the working group agreed that the split site factor should not be offered to schools as part of the formula funding in 2015-16.

5.18. No further work will be undertaken on these areas over the summer, based on the guidance and feedback from the working group. These findings will be presented to schools in the autumn as part of the annual funding formula consultation. Feedback from the consultation will be shared with Schools Forum to support the approval process in setting the funding formula for 2015-16.

Other Matters

- 5.19. The DfE will issue the draft funding proforma for 2015-16 to Local Authorities during the summer 2014. The 'draft proforma' will need to be completed and Schools Forum will be asked to approve this at the October meeting, prior to submission to the DfE at the end of October.
- 5.20. Additionally, prior to the 30th September, the Local Authority will need to submit the necessary Minimum Funding Guarantee (MFG) exceptions and dis-applications. These will include the request to vary Mayfield School's pupil numbers (as in 2014-15), in order to recognise the new intake of pupils as a result of the school becoming an 'all through school'
- 5.21. In setting the final budget for 2015-16 for Primary and Secondary schools, updated pupil data based on the October 2014 census will be provided by the DfE. As a result of the change in pupil numbers and pupil characteristics, it may be necessary to amend the final unit values attached to the funding formula factors, in order to maintain overall affordability.
- 5.22. In order to provide schools with some certainty, it is proposed that any changes to the unit values attached to funding factors will be limited to the following formula factors:
- Basic Per Pupil Entitlement
 - Prior Attainment
 - Lump sum
 - Percentage of the financial cap

6. Special Schools and Specialist Settings

- 6.1. At the recent National Fair Funding Conference a number of announcements were made in respect of the High Needs Funding arrangements for 2015-16, these included:
- a. The DfE are considering increasing the place funding rate for Alternative Provision settings from £8,000 to £10,000 per annum. However, there is unlikely to be any additional funding for this change and Local Authorities would need to fund the change from existing funding, such as through a change to the top-up rate.
 - b. The DfE have indicated that due to quality and timing issues with the school census and Individual Learner Records (ILR's), it is unlikely that they will rely on this for specialist settings, to determine the places and ultimately the place funding for 2015-16.

However, they also do not want to undertake a full place review exercise. Therefore they are considering rolling forward the 2014-

15 place numbers into 2015-16. They do recognise however, that they will need to develop a process for exceptions.

- c. The DfE recognised that the distribution of overall high needs block funding is out of date and in need of reform, but they currently lack the necessary information on Local Authority spending to undertake a reform and are considering how to approach this.
 - d. Further information on the funding arrangements for 2015-16 will be published in July 2014 and a consultation on the Financial Regulations will be undertaken over the summer and Early Autumn.
- 6.2. In addition to discussing the latest developments in High Needs funding as highlighted above, the Special Working Group considered the issues around the pressure on places in High Needs settings as well as the 'banding system' for Element 3 top-up funding in Special Schools.
- 6.3. Following research by the Finance team into the different Element 3 top-up funding models in other Local Authorities, it was clear that the models varied significantly between Authorities. After some consideration the working group supported the continuation of the existing model, but with a review of the banding descriptors to help provide greater clarity and rigour in assessing the appropriate level of need and therefore banding for pupils.
- 6.4. Whilst it is recognised that there is a growing financial pressure in relation to pupils in Special Schools both in terms of demand and complexity of needs, it is not currently proposed to reduce the value of each Element 3 top-up band by 1.5% for 2015-16; The financial saving estimated from the reduction in 2014-15 is £61,100 (or 0.8% of the overall budgeted funding for Special Schools).
- 6.5. Whilst a reduction in the band values would generate a small saving in the funding required for 2015-16, it is not considered to be a sustainable approach in the long term. A longer term solution to meeting, and if possible reducing, the demand currently placed on high needs settings is required. Therefore the SEN Support Commissioning Manager is establishing and 'SEN Strategy Group' to look at this issue across both the mainstream and specialist settings within the city, with the aspiration of developing a sustainable and affordable long term strategy. More details about the SEN Strategy Group can be found in a separate report (item 9) on this meeting's agenda.

7. De-Delegated Budgets

- 7.1. In setting the budget for 2014-15, Schools Forum agreed to de-delegate the following budgets to central control as shown in the table below.

Expenditure Item	De-delegation for 14-15
Administration of free school meals eligibility	De-delegate from maintained primary & secondary schools.
Licences or subscriptions	De-delegate from maintained primary & secondary schools.
Special Staff Costs: Maternity	De-delegate from maintained primary & secondary schools.
Special Staff Costs: Union Duties, Suspension, Jury Service, etc.	De-delegate from maintained primary & secondary schools
Support for minority ethnic pupils or underachieving pupils	De-delegate from maintained primary & secondary schools for the period Apr - Aug 2014. The EMAS service will implement a traded service arrangement from September 2014
Behaviour Support	De-Delegate from maintained primary schools. De-delegate from maintained secondary schools for the period Apr - Aug 2014 only. A traded service arrangement will be in place from September 2014
Museum & Library Services	De-delegate from maintained primary schools only

- 7.2. For 2015-16, we are currently only proposing to continue offering the option to de-delegate the 'union duties' element of the Special Staff Costs. This will mean that schools will be responsible for managing the costs of any staff on Maternity, Suspension and Jury Service in the same way as Academies from 1st April 2015. The reason behind this decision is that more schools are converting to Academy status, which is reducing the size of the pooled funds. Additionally, with reducing resources it is necessary to consider the staffing resources required to continue to support and administration of these pooled funds.
- 7.3. In summary, the de-delegation options that will be proposed to Schools Forum in October are set out in the table below. Details of the proposed changes will also be notified to schools at the same time as the consultation on the changes to the funding formula.

Expenditure Item	De-delegation Proposals for 2015-16
Administration of free school meals eligibility	Continue to de-delegate from maintained primary & secondary schools.
Licences or subscriptions	Continue to de-delegate from maintained primary & secondary schools.

Expenditure Item	De-delegation Proposals for 2015-16
Special Staff Costs: Union Duties.	De-delegate only union duties from maintained primary & secondary schools.
Behaviour Support	De-Delegate from maintained primary schools only.
Museum & Library Services	De-delegate from maintained primary schools only

8. Falling Rolls Fund

- 8.1. At the 30th April 2014 meeting of Schools Forum, it was agreed to bring a report back to the 16th July meeting, with proposals for revising the Falling Rolls Fund criteria for 2015-16.
- 8.2. When setting the criteria for the Falling Rolls Fund, with the exception of the mandatory requirement that the school concerned has an Ofsted Judgement of Good or Outstanding; all other criteria are at the discretion of the local authority implementing the fund. A copy of the current criteria can be found at Appendix 4.
- 8.3. Any modelling of the potential call on the fund for 2015-16, has been based on the following assumptions:
 - All schools who meet the criteria will have an Ofsted Judgement of Good or Outstanding, although at the time of writing the report only one school meets the mandatory criteria.
 - Pupil numbers have been based on the admissions data for September 2014; this may change as school places are finalised over the summer.
- 8.4. Four options were modelled:
 - i. No change to the current funding allocation method.
 - ii. Using a flat rate of £1,000 per pupil rather than the basic per pupil entitlement factor for Primary and Key Stage 3 Secondary pupils.
 - iii. A lump Sum per school of £62,500.
 - iv. Funding for the average change in pupils over a two year period.
- 8.5. The following principles were applied in the financial modelling:
 - i. Affordability, in response to the budget challenges and the proposed options for balancing the budget, as explained in the report at item 9 of this meeting's agenda. The modelling considered the option of reducing the Falling Rolls Fun to approximately £250,000.

- ii. Provision of funding to support schools with falling rolls to maintain the current curriculum until the pupil numbers increase.
- 8.6. The modelling identified four Secondary schools that could potentially be eligible for the fund in 2015-16. The 'no change' position and the option using 'average pupil numbers exceed the current budget for 2014-15 of £500,000.
- 8.7. The results of the modelling are set out in the table below:

Option	Number of schools eligible	Total cost
		£
No change	4	700,000
Flat rate £1,000	4	192,000
Lump sum	4	250,000
Average pupil No's.	4	806,000

- 8.8. Whilst the flat rate option is affordable, it would provide one of the schools with only £11,000 from the fund. Therefore only the lump sum option meets the affordability principle, whilst providing a level of funding to support the schools in maintaining their curriculum offer.
- 8.9. Appendix 5 sets out the proposed criteria for the falling rolls fund for 2015-16, but the key changes relate to the following:
- New criteria - Clarifies that the fund is only open to those schools or Academies who have seen a reduction in the number of pupils on roll between the October 2012 and October 2013 census as well as the October 2013 and October 2014 census.
 - Revised criteria - That the school has surplus capacity that exceeds 30 pupils or 20% of the published admission number (PAN) in the admitting year; for 2 academic years.
 - New criteria - Change to the funding allocation formula to a lump sum per school rather than a per pupil factor.
- 8.10. In recognition of the forecast financial challenges for 2015-16, it is necessary to revise the future Falling Rolls Fund criteria as detailed above. As a consequence of the financial challenges, Schools Forum will need to consider whether it is affordable to continue to operate a Falling Rolls Fund in future years.

9. Next Steps

- 9.1 The steps in developing the funding arrangements for 2015-16 are as follows:
- a. Officers will prepare the funding formula consultation document and issue this to schools and academies in Early September.
 - b. Officers will prepare and submit the MFG exception and disapplication requests for submission by 30 September 2014.
 - c. Officers will consider the financial pressure areas within the Dedicated Schools Grant budget, the impact and adjustments required to maintain overall affordability for 2015-16.
 - d. DfE will issue further guidance in respect of the High Needs budgets for 2015-16 in July 2014. The implications of this will need to be considered and reported back to Schools Forum in October.
 - e. The DfE will issue the draft funding proforma for 2015-16 to Local Authorities. This will need to be completed and Schools Forum will need to approve prior to submission to the DfE at the end of October.

10. Reasons for recommendations

This report sets out the progress and developments in respect of the implementation of the school revenue funding arrangements for 2015-16. Schools Forum are asked to note the progress and developments to date and and provide the necessary approvals at this stage in the process.

11. Equality impact assessment (EIA)

This report does not require an Equality impact Assessment as the proposal does not have any impact upon a particular equalities group.

12. Legal comments

Legal comments have been included within the body of this report

13. Head of Finance's comments

Finance comments have been included within the body of this report.

.....
Signed by:

Appendices:

- Appendix 1 - Funding Working Group Membership
- Appendix 2 - Mainstream Working Group Principles
- Appendix 3 - Special Working Group Principles
- Appendix 4 - Falling Rolls Fund - Criteria for 2014-15
- Appendix 5 - Falling Rolls Fund - Proposed Criteria for 2015-16

Background list of documents: Section 100D of the Local Government Act 1972

The following documents disclose facts or matters, which have been relied upon to a material extent by the author in preparing this report:

Title of document	Location
DSG Budget Monitoring Information	Education Finance

The recommendation(s) set out above were approved/ approved as amended/ deferred/ rejected by on

.....
Signed by:

APPENDIX 1

Funding Working Groups Membership

1. Mainstream Working Group

	Mainstream	
	Primary	Secondary
Head Teacher	Annie Gunthorpe (Westover Primary)	Mike Smith (City Boys)
Governor	Justeen White (Westover)	Bruce Marr (Mayfield)
Finance	Anita Phillimore (Arundel Court Primary)	Sue Ravenhall (King Richard)
Academy	Margaret Beel (Lyndhurst Junior School Academy)	tbc

2. Special Working Group

	Special
Head Teacher	Krishna Purbhoo (Harbour)
Governor	Jim Tolley (Willows)
Finance	Sharon Payne (Willows)
Academy	Alison Beane (Mary Rose)
SEN Representatives	Julia Katherine (SEN Support Commissioning Manager) Troy Hobbs (Team Manager)

APPENDIX 2

School Funding Reform 2015-16 Principles Mainstream Working Group

1. There will be no additional funding. Department for Education (DfE) has confirmed that the starting point for Local Authority allocations for 2015-16 Dedicated Schools Grant will be the Guaranteed Units of Funding for 2014/15.
2. All primary & secondary schools will receive protected funding levels at minus 1.5% per pupil.
3. For modelling purposes funding for each phase should remain in same proportion / percentage of overall funding as in 2014-15.
4. Ceilings on gains will continue to be imposed to allow for overall affordability (the percentage level will need to be determined following the funding and data set announcements in December 2014).
5. We will seek to minimise the MFG and fluctuations in funding for schools.
6. Results of financial modelling will be shared with working groups and Schools Forum at a high level only (e.g. X schools lose more than £a or b%, Y schools gain more than £c or d%) to ensure that further proposals are informed by principles.
7. The formula factors for primary and secondary schools for 2015-16 will continue to be applied as they were in 2014-15; (with the exception of the lump sum and split site factor which will be reviewed by the working group) unless the October 2014 census data impacts on overall affordability.
8. Funding values for specific agreed factors will be adjusted to ensure overall affordability; and will be agreed by Schools Forum.
9. Members of the working group will be expected to seek views and input from their phases and to ensure their colleagues are aware of any consultations issued by the Local Authority in respect of school funding.

APPENDIX 3**School Funding Reform 2015-16
Principles
Special Working Group**

1. That the funding supports an inclusive ethos, where pupils' special educational needs across all phases of education will ordinarily be met in their local mainstream school, or through the continuum of locally maintained / funded provision including mainstream nurseries and schools, resourced provisions and units and special schools. Early years settings, schools and colleges will deliver high quality provision through their skilled staff, supported where necessary by specialists and experts
2. The Department for Education (DfE) has not yet confirmed how the 2015-16 Dedicated Schools Grant (DSG), High Needs Funding, will be allocated to the Local Authority for 2015-16. Early indications are to expect no additional funding for High Needs pupils. Any funding to support the increased growth in need and cost in the High Needs sector would come from either early years or mainstream schools setting, which could impact on the inclusive ethos of the authority.
3. The Local Authority and Special schools continue to work together to seek to provide sufficient funding to special schools whilst recognising that the funding is cash flat and we need to establish a sustainable solution.
4. The number of commissioned places will be agreed on an academic year basis as follows:
 - Pre 16 places - Total places per school
 - Post 16 places - Places for Portsmouth City Council only
5. For 2015-16 we are not intending to alter the Element 3 Top-up funding model (of bands A-H), however we are seeking to develop suggestions and proposals (in conjunction with the SEN Strategy Group) for a detailed long term strategy to provide a more sustainable funding arrangement
6. Outreach funding will continue to allocate as in 2014-15 for 2015-16. Schools Forum's agreement will be sought in the Autumn Term
7. Behaviour support arrangements for Primary schools will be presented to Schools Forum to seek agreement whether to continue to de-delegate from maintained schools. This will be sought between September and December 2014.
8. Members of the working group will be expected to seek views and input from schools not represented at the working group and to ensure their colleagues are aware of any consultations issued by the Local Authority in respect of school funding.

Appendix 4

The Falling Rolls fund - Criteria for 2014-15

Applies to: Maintained schools and Academies

Criteria for accessing the fund

- The fund is only available to Primary and Secondary maintained schools or Academies in Portsmouth.
- Financial support will be available only for schools:
 - Judged Good or Outstanding at their last Ofsted inspection.
 - Surplus capacity exceeds 30 pupils or 20% of the published admission number.
 - Local planning data shows a requirement for at least 50% of the surplus places within the next 3 financial years.
 - Formula funding available to the school will not support provision of an appropriate curriculum for the existing cohort.
 - The school will need to make redundancies in order to contain spending within its formula budget.
 - Where the school does not have a surplus revenue balance as at 31st March 2014 in excess of 5% (secondary) or 8% (primary) of its school budget share for the previous funding period (or the relevant academic years in the case of academies).

Funding Allocation

Schools and academies who meet the above criteria in 2014-15 must submit a request for financial support to the Finance Manager for Education and Children's Services by 15 April 2014.

Funding will be allocated using the following formula:

- The decrease in the number on roll between the October 2012 and October 2013 census, multiplied by the value of the 2014-15 Basic Per Pupil Entitlement factor.
- For Secondary schools, the Basic Per Pupil Entitlement Factor for Key Stage 3 will be used.

The maximum allocation to a school or academy from the fund will be limited to £300,000.

Appendix 5

The Falling Rolls Fund - Proposed Criteria for 2015-16

Applies to: Maintained schools and Academies

Criteria for accessing the fund

- The fund is only available to Primary and Secondary maintained schools or Academies in Portsmouth.
- Financial support will be available only for schools:
 - Judged Good or Outstanding at their last Ofsted inspection at the time of the funding allocation.
 - **Who have seen a decrease in the overall number on roll between:**
 - (a) the October 2012 and October 2013; and**
 - (b) the October 2013 and October 2014**

census data used for funding purposes.

- Surplus capacity exceeds 30 pupils or 20% of the published admission number. (PAN) **for the admitting year (e.g. Infant and Primary Schools; Reception, Junior Schools; Year 3, Secondary Schools; Year 7) for both the 2014-15 and 2015-16 academic years using the October census data.**
- Local planning data shows a requirement for at least 50% of the surplus places within the next 3 financial years.
- Formula funding available to the school will not support provision of an appropriate curriculum for the existing cohort.
- The school will need to make redundancies in order to contain spending within its formula budget.
- Where the school does not have a surplus revenue balance as at 31st March 2014 in excess of 5% (secondary) or 8% (primary) of its school budget share for the previous funding period (or the relevant academic years in the case of academies).

Funding Allocation

Schools and Academies who meet the above criteria in 2014-15 must submit a request for financial support to the Finance Manager for Education and Children's Services by 15 April 2015.

For Primary and Secondary schools or Academies who meet the criteria and have submitted a valid request for funding by the relevant deadline a one-off lump sum payment of £62,500 will be allocated.